

# COMPSCI 320S2C, 2008: Algorithmics

Mark C. Wilson

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# Organizational matters

- Lecturer: Dr Mark Wilson.

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- Lecturer: Dr Mark Wilson.
- Email `mcw@cs.auckland.ac.nz`. Phone extn 86643.

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- Other resources: course webpages, lecturers, tutorials, class forum, library (books on reserve).

# Overview

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- It also turns out that many of these problems are about equally hard, so solving any one quickly would yield a quick solution for all of them.
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- It also turns out that many of these problems are about equally hard, so solving any one quickly would yield a quick solution for all of them.
- It also turns out that for many of these problems it is easy to **verify** a solution once guessed, but apparently very hard to **find** a solution.
- It is widely believed that no algorithms exist to solve these problems quickly, but no one knows for sure. This is the most famous question in computer science:  $P = NP?$

## Polynomial-time reductions

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- Note that the instances of  $X$  must be of polynomial size in the input, since we need to write them down before calling the black box.
- If  $Y \leq_P X$  and  $X$  can be solved in polynomial time, so can  $Y$ . But if  $Y$  cannot be solved in polynomial time, neither can  $X$ .



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- This is a case of reduction by a simple equivalence of problems.

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- 3-SAT problem: as for SAT, but restrict to  $k = 3$ .

## Reduction of 3-SAT to IS

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- Thus  $3\text{-SAT} \leq_P \text{IS}$ .

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- Think of  $t$  as being a proof (or **certificate**) that  $s \in X$ .  $B$  does not solve the problem, but can check certificates.

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- How quickly can this be done?

## P and NP: definitions

- $P$  is the class of problems  $D$  for which there exists an algorithm  $A$  that solves  $D$ , and a polynomial  $p$ , such that for each input  $s$ , the running time of  $A$  on input  $s$  is at most  $p(|s|)$ . That is, there is a **polynomial time solver**.

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- NP stands for “nondeterministic polynomial time” — it corresponds to a “nondeterministic Turing machine”.
- Many important problems are in NP: Examples: VC, IS, 3-SAT, Hamiltonian cycle, travelling salesperson, graph colouring, graph isomorphism, subset sum.



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- If  $P = NP$ , then all these hard-looking problems are actually easy, but we have not yet found the algorithms. Cryptography would be much harder to do. Mathematicians would be essentially out of business (computers could find all proofs of theorems of reasonable length).
- The Clay Mathematics Institute offers US\$1 000 000 for a solution to the  $P = NP?$  problem.

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- Cook and Levin proved around 1971 that they do exist (for example, circuit satisfiability problem). It is now known that hundreds of natural problems are NP-complete, for example 3-SAT, VC, IS, SC.
- Note that if any NP-complete problem is solvable in polynomial time, then  $P = NP$ . Thus it is widely believed that all of these problems are extremely hard to solve in the worst case.

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- The value of a node is computed by following the Boolean logic in the obvious way.
- We ask whether there is a truth assignment to the inputs that causes the value of the output to be 1.

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- If the algorithm has polynomially bounded running time, then the circuit size is also polynomially bounded.

## Reduction to Circuit-SAT

- Given an arbitrary problem  $X$  in NP, we can reduce it to Circuit-SAT. The proof is complicated (not given here) but the main idea is not.
- Every algorithm for a decision problem that takes a fixed size input can be represented by a circuit whose value on a given input is the correct output for the algorithm on that input. The execution of the algorithm is modelled by the circuit.
- If the algorithm has polynomially bounded running time, then the circuit size is also polynomially bounded.
- Given a polynomial-time certifier  $B$  for  $X$ , we convert it to a circuit with polynomial input size and use the black box for Circuit-SAT to solve  $X$ .

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## $k$ -colouring a graph

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- It remains to show that 3-colouring is NP-complete.

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- Every 3-colouring of this corresponds to a truth assignment. We need to extend this graph so that only satisfying assignments yield valid 3-colourings.

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- For each clause, create a small graph  $H$  that attaches to  $G$  at the three terms in the clause, and at the nodes T, F, B so that if all terms in the clause are false, no 3-colouring extending in to  $H$  is possible. In other words, a valid 3-colouring yields at least one true term in the clause.

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- $H$  can be found fairly easily, and has 6 vertices. Attach one for each clause, independently.
- 3-colourings of this graph correspond exactly to satisfying assignments of the given set of clauses.



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- A dynamic programming solution runs in time  $O(nW)$ . This is exponential in the input size, since the input is considered to be given in binary expansion.
- The problem is clearly in NP (just perform the addition). We reduce 3-SAT to it, to show that it is NP-complete.

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- The target is a decimal whose lowest  $n$  digits are all 1 and whose next  $k$  digits are all 3. A solution to subset sum gives a satisfying assignment.

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- Primality has recently been shown to lie in P. It is unknown whether there are problems in  $NP \cap co - NP$  that are not in P.
- It is known that integer factorization is in  $NP \cap co - NP$ , but it is not known to be in P. Important cryptographic algorithms are based on the assumption that it is not.

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- Examples: fingerprinting (verification of identities); primality testing.

# Fingerprinting

- Suppose we have a set  $U$  and want to determine whether elements  $u, v$  are equal. It is often easier to choose a fingerprinting function  $f$  and compute whether  $f(u) = f(v)$ , in which case we return yes. Such methods are always biased:  $P(N|Y) = 0$ .



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- Example:  $M$  is a symbolic matrix in variables  $x_1, \dots, x_n$ ; we want to know whether  $\det(M) = 0$ . Choose a finite subset  $S$  of  $\mathbb{C}$  and choose  $r_1, \dots, r_n$  independently and uniformly from  $S$ . Substitute  $x_i = r_i$  for all  $i$  and compute the determinant. Can show  $P(Y|N) \leq m/|S|$  where  $m$  is the total degree of the polynomial  $\det(M)$ .

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- There are many specific applications of the above examples.

# Improving biased Monte Carlo algorithms

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- This means  $P(Y|N) \leq 1 - p, P(N|Y) \leq 1 - p$ .
- If, say, NO is always right then we can improve our confidence in the answer by repeating the algorithm  $n$  times on the same instance. This is **amplification of the stochastic advantage**. If we ever get NO, report NO. Else report YES. Probability of error is at most  $(1 - p)^n$ . To reduce this to  $\varepsilon$  requires number of trials proportional to  $\lg(1/\varepsilon)$  and to  $-\lg(1 - p)$ .

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- Simplifying this could be done, but it is easier to use the normal approximation:  $X$  is approximately normal with mean  $np$  and variance  $np(1-p)$  for  $n$  large enough. Use table of normal distribution to work out size of  $n$  for given  $\varepsilon$ . Answer is proportional to  $\lg(1/\varepsilon)$  and  $(p - 1/2)^{-2}$ .

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- This gives a biased Monte Carlo algorithm with  $P(N|N) = 1, P(Y|N) = 0$ . What about  $P(N|Y), P(Y|Y)$ ?

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- Fermat (1640) proved that if  $n$  is prime, and  $1 \leq a \leq n - 1$ , then  $a^{n-1} \equiv 1 \pmod{n}$ . However if  $n$  is composite (not prime) then this equality may or may not hold.
- An obvious idea is: given  $n$ , choose  $a$  randomly and compute  $a^{n-1} \pmod{n}$  (recall that we can do this quickly, using a divide-and conquer approach). If the answer is not 1, we report NO (such an  $a$  is called a **witness**).
- This gives a biased Monte Carlo algorithm with  $P(N|N) = 1, P(Y|N) = 0$ . What about  $P(N|Y), P(Y|Y)$ ?
- Unfortunately  $P(N|Y)$  can be made arbitrarily close to 1 (some  $n$  have a lot of false witnesses). So this algorithm is not  $p$ -correct for any  $p > 0$ .

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- There is an even more complicated test (Agrawal-Kayal-Saxena 2002) that is in fact always correct, and this gives the first worst-case polynomial-time algorithm for primality. But it is not as fast in practice as the randomized algorithm above.



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- We can use this to optimize the repeated algorithm.