

The Byzantine Agreement – part 2

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① Stopping failures

② EIGStop

③ Byzantine agreement with authentication

Outline

- ① Stopping failures
- ② EIGStop
- ③ Byzantine agreement with authentication

Stopping failures model

- Much simplified version of the Byzantine agreement
- A failed process can only stop sending messages, forever (no intermittent failures, recovery not considered)
- No possibility to send confusing messages (i.e. different messages to different directions)
- The problem can be solved for any $F \leq N - 1$ ☺ (not only when $3F \leq N - 1$)

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The Stopping agreement conditions – vs Byz

- **Termination:** all **non-faulty** processes eventually decide
- **Agreement:** no two ~~non-faulty~~ processes ever decide on different values
- **Validity:** if all ~~non-faulty~~ processes start with the **same initial value** $v \in V$, then v is the only one possible decision value
- If the processes start with **different initial values**, then the final decision could be **any of these** (as long as it is **consistent**)

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EIGStop

- EIG tree **as in** the EIGByz, $F + 1$ messaging rounds
 - recall: F can be as high as $N - 1$ (not at most $(N - 1)/3$)
- Top-down $\text{val}()$'s **as in** the EIGByz, i.e. via messaging
- **No** bottom-up $\text{newval}()$ attributes
- Final decision: set W of all **non-null** $\text{val}()$'s in EIG tree
 - all values at all levels! not just leaves
 - nulls discarded! not assumed v_0
- If W is **singleton**, $W = \{v\}$, then the decision is v
- Otherwise, if W is **mixed**, $W = \{0, 1\}$, then the decision is v_0
 - no voting! no tie breaking

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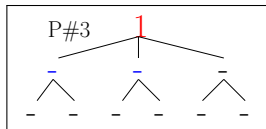
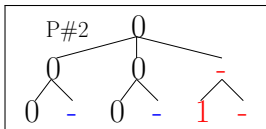
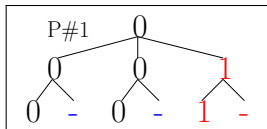
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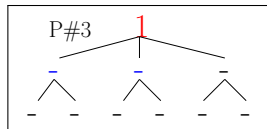
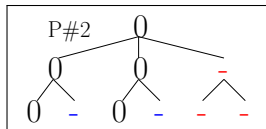
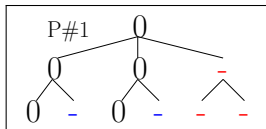
EIGStop example – assuming $v_0 = 1$; nulls as -

- Process #1 : init 0; decision $v_0 = 1$
- Process #2 : init 0; decision $v_0 = 1$
- Process #3 : init 1; no decision;
fails after sending one 1st round message, to #1



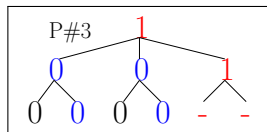
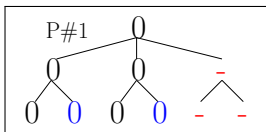
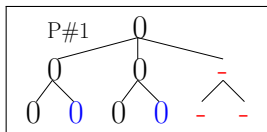
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EIGStop example – assuming $v_0 = 1$; nulls as -

- **WHAT IF** scenario –**NOT** supported by this EIGStop protocol
- **NO** agreement
- Process #1 : init 0; decision 0
- Process #2 : init 0; decision 0
- Process #3 : init 1; decision $v_0 = 1$;
What if P#3 fails before sending any 1st round out-message but would be immediately allowed to **recover and decide**



EIGStop vs EIGByz vs 3PC – assuming $v_0 = 0$

- x indicates a faulty process, which fails from start, before sending any 1st round message

Initial	EIGStop	EIGByz	3PC
0 0 0 0	0	0	0
0 0 0 1	0	0	0
0 0 1 1	0	0	0
0 1 1 1	0	1	0
1 1 1 1	1	1	1
x 0 0 0	0	0	0
x 0 0 1	0	0	0
x 0 1 1	0	0	0
x 1 1 1	1*	1	0

- * EIGStop: what would happen if the faulty x starts with 0 and would be allowed to recover after the 1st round?

EIGStop vs EIGByz vs 3PC – assuming $v_0 = 0$

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0 0 0 0	0	0	0
0 0 0 1	0	0	0
0 0 1 1	0	0	0
0 1 1 1	0	1	0
1 1 1 1	1	1	1
x 0 0 0	0	0	0
x 0 0 1	0	0	0
x 0 1 1	0	0	0
x 1 1 1	1*	1	0

- * EIGStop: what would happen if the faulty x starts with 0 and would be allowed to recover after the 1st round?

EIGStop vs EIGByz vs 3PC – assuming $v_0 = 1$

- **x** indicates a faulty process, which fails from start, before sending any 1st round message

Initial	EIGStop	EIGByz	3PC
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1 1 1 1	1	1	1
x 0 0 0	0*	0	0
x 0 0 1	1	1	0
x 0 1 1	1	1	0
x 1 1 1	1	1	0

- * EIGStop: what would happen if the faulty **x** starts with 1 and would be allowed to recover after the 1st round?

EIGStop vs EIGByz vs 3PC – assuming $v_0 = 1$

- x indicates a faulty process, which fails from start, before sending any 1st round message

Initial	EIGStop	EIGByz	3PC
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Byzantine agreement with authentication

- Assume that each process digitally signs its messages in a total safe way, e.g. based on PKI/DSS...
- Is this reasonable?
- Problem with certificate weaknesses: What if a powerful Byzantine faulty process is able to forge such signatures?
- Problem with authority: What if the certification authority itself is hacked or even turns into a Byzantine process?
- Anyway, assuming that such digital signatures are totally safe, Byzantine faulty nodes are not able to wreak much more havoc than a stopped process
- EIGStop can be adapted to solve the (slightly different) Byzantine agreement with authentication
- Faster/better/more general algorithms possible...

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