# **Evolutionary Algorithms**

CS367
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### Biological Foundations

- Each cell of an organism contains a complete set of genes.
- Genotype is the collection of genes of an organism.
- Phenotype is the morphology, physiology and behavior of an organism.
- Phenotype is determined by genotype and learning/interaction with the environment.

# Biological Foundations II

- Variety is manifested as variation in the chromosomes.
- Chromosomes are DNA molecules consisting of nucleotides:
  - A (adenine)
  - C (cytosine)
  - G (guanine)
  - T (thymine)
- Chromosomes can be interpreted as character strings in nature's base-4 alphabet.
- The evolutionary process performs genetic operations on these.

# Biological Foundations III

- Fitness is determined by how adapted an organism is to its environment.
- Survival of the fittest and natural selection [Darwin, 1859].
- Evolution is a search process for phenotypes.
- There is a tendency towards phenotypes that are more adapted to the environment.
- Variation in genotypes results in variation in phenotype.

# **Necessary Conditions**

- An entity has the ability to reproduce itself.
- There is a population of such self-reproducing entities.
- There is some variety among the self-reproducing entities.
- Some difference in ability to survive in the environment is associated with the variety.

# Genetic Algorithms

- Genetic algorithms are search algorithms inspired by evolutionary processes.
- They are highly parallel mathematical algorithms.
- They transform a set (population) of individual mathmatical objects (typically fixed length character strings) into a new population.
- Each individual is assocaited with a fitness value, which plays a significant role in the transformation process.
- First proposed in the 1970s, but still no adequate theory to explain why they work so well. - see page 19

#### Sketch of the Genetic Algorithm

- Population of solutions (initialised randomly).
- Variation operators (e.g. mutation and crossover).
- The goodness of a solutions is quantified using a fitness function.
- Selection based on fitness.
- Repeat for a number of generations.

#### Fitness-Proportionate Reproduction

- Copy individuals in the current population into the next generation with a probability proportional to their fitness.
- The effect of fitness-proportionate reproduction is to improve the average fitness of the population.

#### Crossover

- Select a number between 1 and L 1; this number becomes the crossover point.
- Take two parents and cut them at the crossover point.
- Combine the crossover fragment of parent 1 with the remainder of parent 2.
- Combine the crossover fragment of parent 2 with the remainder of parent 1.

# The Genetic Algorithm

- 1. Randomly create an initial population of individual fixed-length strings.
- Iteratively perform the following substeps on the population of strings until the termination criterion has been satisfied:
  - Evaluate the fitness of each individual in the population.
  - Create a new population of strings by applying at least the first two of the following three operations:
    - Copy existing strings to the new population (mating pool).
    - Create two new strings by genetically recombining randomly chosen substrings from two existing strings (crossover).
    - Create a new string from an existing string by randomly mutating the character at one position in the string (mutation).
- 3. The best individual string that appeared in any generation is designated as the result of the genetic algorithm for the run.

#### The Hamburger Restaurant Problem

- Find the business strategy for a chain of four hamburger restaurants that maximizes the profit (optimization problem).
- The strategy consists of making three binary decisions:
  - Price Should the price of the hamburger be 50 cents or \$10?
  - **Drink** Should wine or cola be served with the hamburger?
  - Speed of service Should the restaurant provide slow, leisurely service by waiters in tuxedos or fast, snappy service by waiters in white polyester uniforms?

### Representing the Problem

- There are three decision variables.
- Each variable can assume one of two possible values.
- Therefore it would be natural to represent each possible business strategy as a character string of length L = 3 over an alphabet of size K = 2.
- This yields a search space of 2 3 = 8 possible business strategies.

# Example of Business Strategies

Restaurant	Price	Drink	Speed	Representation
1	high	cola	fast	011
2	high	wine	fast	001
3	low	cola	leisurely	110
4	high	cola	leisurely	010

#### The Rich Uncle's Heir

- No guidance as to what business strategy produces the highest payoff in the environment in which the restaurants operate.
- No information about which of the three variables are the most important:
  - Are all variables relevant?
  - Can the variables be changed independently or are they interrelated?
  - Can a global optimum be obtained by a stepwise procedure of varying one variable at a time?

#### More about Rich Uncle's Heir

- No knowledge about the magnitude of the maximum profit when making the right decision or the loss when making the wrong decision.
- No insight into how the operating environment changes over time:
  - Are the public's tastes fickle?
  - Do the rules of the game change?

# The Approach

- Test a different initial random strategy in each of the four restaurants for one week.
- Evaluate the feedback given by the managers of the restaurants.

# Terminology

Restaurants	Population	
Number of restaurants	Population size	
Feedback	Fitness value	
Strategies of the week	Generation	

### The Initial Generation

	Generation 0				
i	String X <sub>i</sub>	Fitness $f(X_i)$	$F(X_i)/\Sigma f(X_i)$		
1	011	3	.25		
2	001	1	.08		
3	110	6	.50		
4	010	2	.17		
total		12			
worst		1			
average		3			
best		6			

# Theory of GAs

- Cameron Skinner
  - Discovery & Retention
    - 2pt crossover
    - 3 tournament selection
  - How handling overcrowding?
    - Use seeding algorithm

### Overcrowding

 Here the whole population becomes copies and mutations of that one guy in the front row.

### Lets watch some movies!!

# Genetic Programming

- Search for a highly fit individual computer program in the space of possible computer programs.
- Starts with an initial population of randomly generated computer programs.
- Each individual program is measured in terms of how well it performs in the particular problem environment.

#### Using Lisp for Genetic Programming

- S-expression can be represented as a rooted tree with ordered branches and labeled nodes.
- Mutation can be achieved by changing the label of a node.
- Crossover is performed by swapping a branch of the tree with a branch from another tree.

# Some Implementation Issues

- Make sure that the offsprings are executable (e.g., by using robust functions).
- Alternatively, assign a very low fitness value to offsprings that cause an error.
- To evaluate an offspring with different data, generate a lexical closure for the offspring.
- Alternatively, use global variables.

# Summary